

UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK

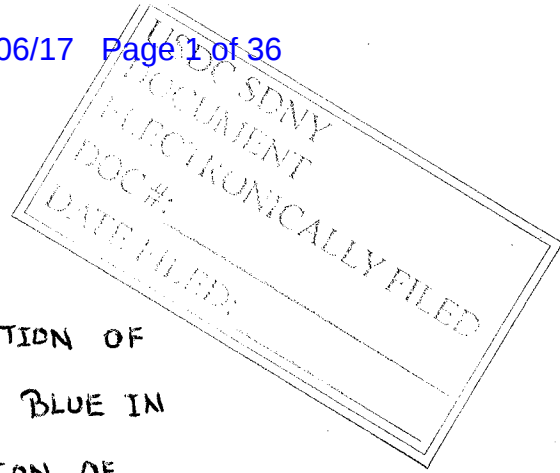
ANTHONY BLUE,

Plaintiff,

-against-

THE CITY OF NEW YORK, et al.,  
Defendants.

RECEIVED  
SDNY DOCK  
DECLARATION OF  
ANTHONY BLUE IN  
OPPOSITION OF  
DEFENDANTS' MOTION  
TO DISMISS  
No. 16-CV-9990 (VSB)



ANTHONY BLUE, declares, pursuant to 28 U.S.C. § 1749, under penalty of perjury, that the following is true and correct:

1. I am the plaintiff in above captioned action. As such, I am familiar with the facts stated below and submit this declaration to place the relevant documents on the record in support of my opposition of defendants' motion to dismiss pursuant to Federal Rules of Civil Procedure 12(b)(6).
2. Annexed hereto as Exhibit "A" is a copy of a Decision by Hon. Bruce Allen, Justice of the Supreme Court, County of New York, State of New York, dated September 8, 2014.
3. Annexed hereto as Exhibit "B" is a copy of unaltered Indictment Cover sheet manufactured by defendant.
4. Annexed hereto as Exhibit "C" is a copy of Altered Indictment manufactured by defendants.
5. Annexed hereto as Exhibit "D" is a copy of the disposition to the August 27, 2012 incident dated March 13, 2013.
6. Annexed hereto as Exhibit "E" is a copy of an Unauthorized alleged grand jury warrant.

7. Annexed here to as Exhibit "F" is a copy of letters from defendant's CYRUS VANCE, JR. and ERICA O'BRIEN's office.
8. Annexed here to as Exhibit "G" is a copy of letters from the clerk of court. and defendant's office
9. Annexed here to as Exhibit "H" is a copy of clerk record of trial.
10. Annexed here to as Exhibit "I" copy of amended complaint.

Dated : Dannemora, New York

June 23, 2017

Anthony Blue  
Anthony Blue, Plaintiff  
#15A4723  
P.O. Box 2002  
Dannemora, N.Y. 12929

RECEIVED  
SDNY DOCKET UNIT

2017 JUL -6 AM 10:29

UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK

---

ANTHONY BLUE

Plaintiff,

-against-

THE CITY OF NEW YORK, et. al,

Defendants.

---

MEMORANDUM OF LAW

IN SUPPORT OF ANTHONY BLUE'S MOTION IN

OPPOSITION TO DEFENDANTS' MOTION

TO DISMISS PURSUANT TO

FEDERAL RULE 12(b)(6)

---

16 Civ. 9990 (VSB)

---

ANTHONY BLUE

Plaintiff

#15A4723

Box 2002

Danmemora, New York 12429

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# TABLE OF AUTHORITIES

## FEDERAL CASES

UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK

ANTHONY BLUE,

Plaintiff,

-against-

THE CITY OF NEW YORK, et al.,

Defendants.

Jury Trial Demanded

No. 16-CV-9990 (VSB)

MEMORANDUM OF LAW IN OPPOSITION  
OF DEFENDANTS' MOTION TO DISMISS

Plaintiff, Anthony Blue, respectfully submit this memorandum of law in opposition of defendants' motion to dismiss pursuant to Federal Rules of Civil Procedure 12(b)(6)

PRELIMINARY STATEMENT

On March 25, 2013 defendants CYRUS VANCE, JR. and ERICA O'BRIEN filed a false indictment manufactured by them with a Clerk of Court initiating illegal proceedings against plaintiff that were dismissed in plaintiff's favor. I brought this law suit under 42 U.S.C. § 1983; 1985 and 1986. Defendant intends to amend complaint simultaneously with this motion.

Defendants have moved for a motion to dismiss arguing (i) The Eleventh Amendment bars plaintiff's official-capacity § 1983 Claims against DA Vance and ADA O'Brien (ii) Absolute prosecutorial immunity bars plaintiff's individual-capacity § 1983 claims against

DA Vance and ADA O'Brien (iii) Immunities aside, plaintiff fails to state a claim under § 1983 i.e. a. malicious prosecution not terminated in favor; b. denial of right to a fair trial c. fails to state a conspiracy claim; d. fail to state failure to intervene. Defendants are wrong on both the law and the facts.

First, plaintiff is not suing defendants CYRUS VANCE, Jr. and Erica O'Brien in their official capacities, but personally

Secondly, the eleventh amendment does not bar damages against state officials sued in their personal or individual capacities.

Finally, Plaintiff has alleged in the complaint and in his subsequent amended complaint all conditions required, alleging state law claims of malicious prosecution under § 1983.

#### STATEMENT OF FACTS

For a complete statement of the relevant and undisputed facts, the Court is respectfully referred to Plaintiff's Declaration pursuant to Rule 56(c) dated June 23, 2017 (herein after "Plan Dec, Ex. ").", submitted concurrently herewith.

#### STANDARD OF REVIEW

In reviewing a motion to dismiss, this court must accept the factual allegations set forth in the complaint as true, and draw all reasonable inferences in favor of the plaintiff. see *Bernheim v. Litt*, 79 F.3d 318, 321(2d Cir. 1996). A complaint may not be dismissed under Fed. R. Civ. P. 12(b)(6) unless it "appears

beyond doubt that the plaintiff can prove no set of facts in support of his claim which would entitle him to relief." *Cooper v. Parsky*, 140 F.3d 433, 440 (2d Cir. 1998) (quoting *Conley v. Gibson*, 355 U.S. 41, 45-46, 2 L. Ed. 2d 80, 78 S.Ct. 49 (1957)). In other words, the issue before this Court on a motion to dismiss "is not whether... plaintiff will ultimately prevail but whether the claimant is entitled to offer evidence to support the claims." *Villager Pond, Inc. v. Town of Darien*, 56 F.3d 375, 378 (2d Cir. 1995) (citation omitted), cert. denied, 519 U.S. 808, 136 L. Ed. 2d 14, 117 S.Ct. 50 (1996). Moreover, where, as here, plaintiff is proceeding pro se, his complaint and supporting papers must be read "liberally" and interpreted to "raise the strongest argument that they suggest." *Soto v. Walker*, 44 F.3d 169, 173 (2d Cir. 1995) (quoting *Burgos v. Hopkins*, 14 F.3d 787, 790 (2d Cir. 1994)). "However, assessment of credibility, conflicting versions of events, and weight to be assigned to evidence are for the jury, not the court." see *Anderson v. Liberty Lobby*, 477 U.S. 242, 250-255; 106 S.Ct. 2505, 91 L. Ed. 2d 262 (1986).

### ARGUMENT

I. PLAINTIFF IS NOT SUING DEFENDANTS CYRUS VANCE, JR. and ERICA O'BRIEN IN THEIR OFFICIAL CAPACITIES, THE ELEVENTH AMENDMENT BAR IS NOT A VIABLE DEFENCE IN THIS ACTION.

"A claim for damages against state officials in their official capacity is considered to be a claim against the state and is there-

fore barred by the Eleventh Amendment." *Davis v. New York*, 316 F.3d 93, 101 (2d Cir. 2002) (citation omitted)

Here, defendants argue that in plaintiff's complaint: Compl., ¶¶ 68-73, 86-87, 91-92, 98-100, that plaintiff has sued CYRUS VANCE, JR. and ERICA O'BRIEN in their official capacities. Defendants misunderstood Plaintiff's complaint ¶ 73. Plaintiff meant and should have been more specific as to the defendants CYRUS VANCE, JR. and ERICA O'BRIEN acted outside of their official capacities and defendant officers acted inside their official capacities. Plaintiff is resubmitting and serving an amended complaint to clarify his claim. see Plan Dec, Ex. "I"

Further, Plaintiff is suing all defendants in their individual capacities and is not seeking monetary compensation from CYRUS VANCE, JR and ERICA O'BRIEN but fines and imprisonment pursuant to 18 U.S.C.A. § 242 and whatever relief the court or a jury deems appropriate, in their individual/personal capacities.

For the reason stated above the defendants motion to dismiss should be denied.

## II. THE ELEVENTH AMENDMENT DOES NOT BAR DAMAGES AGAINST STATE OFFICIALS SUED IN THEIR PERSONAL OR INDIVIDUAL CAPACITIES.

Eleventh Amendment does not bar damages actions against state officials sued in their personal or individual capacities see *Hafer v. Melo*, 502 U.S. 21, 27-31, 112 S.Ct. 358, 116 L.Ed. 2d 301 (1991)

"A quasi-judicial officer, such as a prosecuting attorney,



who acts outside the scope of his jurisdiction and without authorization of law, cannot shelter himself from liability by the plea that he is acting under color of office." *Lewis v. Brautigam*, 227 F.2d 124, 129 (5th Cir. 1955) "An official's entitlement to absolute immunity from a claim for damages," however, "does not bar the granting of injunctive relief." *Dorman v. Higgins*, 821 F.2d 133, 139 (2d Cir. 1987) see e.g. *Polliam v. Allen*, 466 U.S. 522, 536-37, 104 S.Ct. 1970, 80 L.Ed. 565 (1984); *Hilli v. Sciarrotta*, 140 F.3d 210, 215 (2d Cir. 1998); *Heimbach v. Village of Lyons*, 597 F.2d 344, 347 (2d Cir. 1979), or of other equitable relief.

Here defendants argue that their motion to dismiss should be granted because defendants acted within the function of a prosecutor and that they are entitled to absolute immunity. Defendants do not state what function they were acting in when they conducted their fraudulent misconduct. Absolute immunity does not cover all roles of a prosecutor. Defendant further misstates the allegations of plaintiff are conclusory and meritless and fall within the realm of absolute immunity, because plaintiff alleges defendants caused him to be charged or indicted by submitting fabricated evidence to a grand jury, failing to intervene, while conspiring with others to deprive him of constitutional rights as an attempt to circumvent defendant's absolute immunity. Plaintiff has and is specifically alleging in his complaint and amended complaint, *Plan. Dec. Ex "I"* that the defendants conspired and advised defendant ANDRE WILLIAMS to falsify documents and evidence, forward it to them and to arrest me on false charges, and that defendants CYRUS VANCE JR. and ERICA O'BRIEN manufactured a fraudulent indictment and

mislead a court into false jurisdiction to hold proceedings against plaintiff, and all defendants presented false evidence to a jury. The conduct alone of falsify a document of constitutional dimension to initiate and continue a prosecution without subject matter jurisdiction is in no way connected to any roles of a prosecutor as an investigator, administrator or advocate. The defendants have no objective reasonability to believe their actions were lawful. Those actions constitute fraud and abuse of process and fall within the realm of Title 18 U.S.C.A. § 242.

For the reason stated above the defendants motion to dismiss should be denied.

### III PLAINTIF HAS PLAUSIBLY STATED CLAIMS UNDER 42 U.S.C. § 1983 AND STATE LAW.

A. There was no probable cause for malicious prosecution  
and it was terminated in plaintiff's favor.

A claim for malicious prosecution requires proof of five elements: "(1) the initiation or continuation of a criminal proceeding against plaintiff (2); termination of the proceedings in plaintiff's favor; (3) lack of probable cause for commencing the proceedings; (4) actual malice as a motivation for defendants' actions; (5) deprivation of liberty post-arraignment. *Manganiello v. City of New York*, 612 F.3d 149, 160-161 (2d Cir. 2010)

Here Defendants argue that their motion to dismiss should be granted because the existence of probable cause, which is an

absolute defense to a claim of malicious prosecution, because plaintiff was indicted.

As alleged in plaintiff's complaint the defendants CYRUS VANCE, JR. and ERICA O'BRIEN manufactured a fraudulent indictment and used it to mislead a court clerk to believe it was authentic. This clerk without authority of a court issued and signed an alleged grand jury warrant for defendant and another to be returned to her court Port 32. see Plan. Dec. Ex. "E". This court nor any other impaneled a grand jury nor did a grand jury appear in open court to file an indictment charging plaintiff with the crime he was acquitted. Defendants refuse to provide plaintiff with proof that the document they gave plaintiff a year later. see Plan. Dec. Ex. "B" was authentic. What plaintiff requested was public records i.e. a copy of transcripts of a grand jury appearing in court to present an indictment charging plaintiff, a copy of a time dated stamped receipt indictment filed by a county court clerk, clerk notes of a grand jury foreman filing of an indictment against plaintiff, a backer signed by the district attorney and grand jury foreman, grand jury vote sheet etc... The same request was made to the clerk of court, who stated that the documents are secret. see Plan. Dec. Ex. "F, G". Fiction begets fiction, The defendants have only produced a hearsay indictment and hearsay statements of a judge claiming to have read grand jury minutes he feels supports the charges.

Aside from that, plaintiff has plausibly alleged a malicious prosecution claim.

First, defendants have initiated several proceedings

against plaintiff, on August 27, 2012, August 28, 2012, August 31, 2012, March 25, 2013 and June 12, 2013. see Plan. Dec. Ex. " ", ¶¶ 14-25, 27-28, 34-39, 40-41. *Cook v. Sheldon*, 41 F.3d 73, 79 (2d Cir. 1994) ("troopers commenced a criminal proceeding against plaintiff by formally charging ... and having him arraigned before the town justice.")

Second, the cases were dismissed and sealed in plaintiff favor. The defendants claim the June 5, 2012 incident in which plaintiff was acquitted is not a favorable termination because they successfully prosecuted 5. In *Janetka v. Dade*, 892 F.2d 187 (2d Cir. 1989) the Court of Appeals for the Second Circuit held that a plaintiff whom a jury found not guilty of resisting arrest, but guilty of disorderly conduct nonetheless could bring a common law malicious prosecution claim. "Janetka was charged with two district offenses involving district allegations."

Third, the defendants lacked probable cause for commencing any of the proceedings, especially the last, there were no felony complaints see Plan. Dec. Ex. " " and no grand jury action giving subject matter jurisdiction to try plaintiff see *People ex rel., Battista v. Christian*, 249 N.Y. 314, 319-321. (Until the grand jury has acted, no court can acquire jurisdiction to try the charge ... any conviction thereafter obtained would be a nullity." see also *Frisbie v. United States*, 157 U.S. 160, 163-164 (1895).

Fourth, the defendants commenced the actions against plaintiff with improper motives other than a desire to see the ends of justice served. The defendant ANDRE WILLIAMS only wanted to close cases and cover up an illegal arrest. The defendants

CYRUS VANCE, JR. and ERICA O'BRIEN just wanted to increase their counties conviction rate and get revenge against plaintiff for filing complains against them. "Malice does not have to be actual spite or hatred, but means that the defendant must have commenced the criminal proceedings due to wrong or improper motive, something other than a desire to see the end of justice served." *Lowth v. Town Cheektowaga*, 82 F.3d 563, 573 (2d. Cir. 1996) A jury could conclude defendants acted with malice.

Finally, plaintiff suffered a significant amount of post arraignment deprivation of liberty in all of the proceedings ranging from 8 days to 22 months. *Sassower v. City of White Plains*, 992 F. Supp. 652, 656 (S.D.N.Y. 1998) (finding that were plaintiff was required to appear in court on three occasions and "cut short" her travel, she raised "a genuine issue of fact as to the deprivation of liberty element" of her malicious prosecution claim) to effect a seizure under the Fourth Amendment

For the reasons stated above the defendants motion to dismiss should be denied.

B. Plaintiff was denied his right to a fair trial.

A defendant's right to a fair trial is violated where a police officer forwards fabricated evidence "likely to influence a jury's decision" to the District Attorney's Office. *Ricciotti v. N.Y.C. Transit Auth.*, 124 F.3d 123, 130 (2d Cir. 1997). A successful claim alleging the denial of the right to a fair trial through fabrication of evidence must demonstrate that "(1) an investigating official

(2) fabricates evidence (3) that is likely to influence a jury's decision, (4) forwards that information to prosecutors, and (5) the plaintiff suffers a deprivation of liberty as a result."

Here defendants argue that their motion to dismiss should be granted because plaintiff fails to plausibly allege how he was deprived of a fair trial and liberty.

Plaintiff has amended his complaint to clarify this issue. see Plan. Dec. Ex. "I" ¶¶ 16-24, ¶¶ 45-46. Plaintiff's fair trial claim is not vague or conclusory. These allegations are adequate and serve to distinguish this case from the cases cited by defendants. Plaintiff claims specific sentences in specific documents, evidence and testimony that was intentionally falsified by defendant ANDRE WILLIAMS and defendants causing his arrest. "The plaintiff does not have to have been convicted or suffer a post-conviction deprivation of liberty in order to state a valid § 1983 claim; fabrication of evidence leading to pre-trial detention can satisfy the causation element for a fair trial claim. *Id.* (citing *Ricciotti*, 124 F.3d at 130; *Jean-Laurent*, 2014 WL 4662323, at 3 n.1).

for the reason stated above the defendant's motion to dismiss should be denied.

C. Plaintiff has plausibly alleged a conspiracy between defendants.

"To prove a § 1983, (1985) conspiracy, a plaintiff must show: (1) an agreement between two or more state actors or between a state actor and a private entity; (2) to act in concert to inflict an unconstitutional injury; and (3) an overt act done in furtherance of that goal causing damages." *Pangburn v. Collierston*, 200 F.3d 65, 72 (2d Cir. 1999). The

Second Circuit requires a plaintiff to allege details as to the "time and place and the alleged effect of the conspiracy." see Ciambriello v. City of Nassau, 242 F.3d 307, 325 (2d Cir. 2002)

Here defendants argue that their motion to dismiss should be granted because plaintiff fails to state a conspiracy claim as he asserts vague and conclusory allegations.

Plaintiff has plausibly plead a conspiracy in his complaint and amended complaint with specific sentences and detailed time and places of the events, and acts the defendants engaged in.

Absolute immunity does confer broad protection upon prosecutors from civil liability for their official duties. Yet, "where the prosecutor acts without clear jurisdiction and without any colorable claim of authority the prosecutor loses the absolute immunity he would otherwise enjoy." *Rodriguez v. City of New York*, 193 A.D.2d 79, 86, 602 N.Y.S. 2d 337 (1st Dept. 1993)

"Plaintiff specifically alleges that the ADAs knew the charges against her were false and knew that Shmueli was innocent of the alleged offenses, but the ADAs prosecuted it [sic] nonetheless. Thus plaintiff has sufficiently alleged acts by the ADAs defendants that, if true, would be 'in clear absence of all jurisdiction'. So, ... at least on a 12(b)(6) motion, there is enough here for me to say that absolute immunity does not bar this 1983 claim ..." *Shmueli v. City of New York*, 424 F.3d 231, 235-236 (2005)

D. Plaintiff has plausibly alleged the claim of failure to intervene.  
 To establish a claim for failure to intervene, plaintiff must show (1) the officer's failure "permitted fellow officers to



violate [plaintiff's] clearly established statutory or constitutional rights," and (2) it was "objectively unreasonable for him to believe that his fellow officers' conduct did not violate those rights." Ricciuti, 124 F.3d at 129.

Here defendants argue that their motion to dismiss should be granted because plaintiff fails to state a claim as he asserts vague and conclusory allegations.

Plaintiff has stated allegations in his complaint and amended complaint of defendants CYRUS VANCE, JR. and ERICA O'BRIEN's acts in agreement and overt actions. see Plan. Dec. Ex. "I" ¶¶ 16-25, ¶¶ 34-37., in permitting the officers and themselves to violate plaintiff's rights and it was objectively unreasonable for them to believe that their actions or the defendant ANDRE WILLIAMS conduct did not violate plaintiff's rights.

"Where a plaintiff has properly alleged a constitutional violation, he is "entitled to discovery to determine which officers participated directly in the alleged constitutional violations and which officers were present and failed to intervene." *Matthews v. City of New York*, 899 F. Supp. 2d 418, 444 (E.D.N.Y. 2012) (citing *Biggs v. City of New York*, No. 08-CV-8123, 2010 WL 4628360, at \*6 n. 12 (S.D.N.Y. Nov. 16, 2010)). There has been no discovery phase as of yet in this case.

For this reason stated above the defendants motion to dismiss should be denied

### CONCLUSION



For all of the above reasons stated above, it is respectfully requested that the Court enter an Order pursuant to Rule 12(b)(6) denying the defendants motion to dismiss, with prejudice.

Dated : Dannemora, New York  
June 23, 2017

Anthony Blue  
Anthony Blue, Plaintiff  
# 15A4723  
P.O. Box 2002  
Dannemora, N.Y. 12929

CERTIFICATE OF SERVICE

I declare under penalty of perjury on this 23<sup>rd</sup> day of June, 2017. I am delivering this motion of opposition to prison authorities to be mailed to the defendants' address below:

1. CYRUS VANCE, JR  
ERICA O'BRIEN  
ONE HOGAN PLACE  
NEW YORK, NEW YORK 10013

# EXHIBIT

# A

SUPREME COURT OF THE STATE OF NEW YORK  
COUNTY OF NEW YORK: PART 45

-----x  
THE PEOPLE OF THE STATE OF NEW YORK

-against-

Ind. No. 1402/13

ANTHONY BLUE,  
Defendant.

-----x  
For the People: James Bergamo  
Anthony Blue, pro se  
Karen Funk, legal adviser  
JUSTICE BRUCE ALLEN:

The defendant has moved for an order directing the People to provide copies of felony complaints, affidavits of eyewitnesses and witness statements. There were no felony complaints in this case. The defendant was arrested after being indicted by the grand jury. There is no requirement that the People obtain or provide affidavits of witnesses. The People are required to provide the defense with any written or recorded statements by a witness who the People intend to call at trial, but are not legally obligated to do so until the commencement of the trial. CPL §240.50.

With respect to the defendant's references to eyewitnesses to the charged crimes, it is noted that the People may satisfy their burden through circumstantial evidence.

September 8, 2014

  
\_\_\_\_\_  
BRUCE ALLEN

NYC000300

# EXHIBIT

# B

GJ #2-29

Filed:

N/A

N/A

No.

THE PEOPLE OF THE STATE OF NEW YORK

-against-

ANTHONY BLUE,  
CARNONA PUELLO,

Defendants.

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INDICTMENT

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BURGLARY IN THE SECOND DEGREE, P.L. §140.25(2), 5 Cts  
BURGLARY IN THE SECOND DEGREE, P.L. §140.25(2) - DEF. A. Blue

---

CYRUS R. VANCE, JR., District Attorney

A True Bill

Foreman

Erica O'Brien  
Trial Bureau 30

# EXHIBIT

C

GJ #2-29

# 2nd GRAND JURY

PART 1 MAR 25 2013

Filed:

N/A

N/A

No. 1402-2013
------------------

THE PEOPLE OF THE STATE OF NEW YORK

-against-

ANTHONY BLUE,  
CARNONA PUELLO,

Defendants.

## INDICTMENT

BURGLARY IN THE SECOND DEGREE, P.L. §140.25(2), 5 Cts  
BURGLARY IN THE SECOND DEGREE, P.L. §140.25(2) - DEF. A. Blue

CYRUS R. VANCE, JR., District Attorney

A True Bill

Erica O'Brien  
Trial Bureau 30

Foreman

I hereby certify that the foregoing  
paper is a true copy of the original  
thereof, filed in my office.

DATE APR 14 2017

County Clerk and Clerk of the  
Supreme Court New York County  
OFFICIAL USE

# EXHIBIT

D



CRIMINAL COURT OF THE CITY OF NEW YORK  
COUNTY OF NEW YORK

CERTIFICATE OF DISPOSITION  
NUMBER: 441161

THE PEOPLE OF THE STATE OF NEW YORK  
VS

BLUE, ANTHONY  
Defendant

03/20/1975  
Date of Birth

1 EAST 198 STREET  
Address

7378905Y  
NYSID Number

BRONX NY 10468  
City State Zip

08/28/2012  
Date of Arrest/Issue

Docket Number: 2012NY067918

Summons No:

110-140.25  
Arraignment Charges

Case Disposition Information:

Date Court Action  
03/13/2013 DISMISSED AND SEALED

Judge  
SOKOLOFF, L

Part  
2F

NO FEE CERTIFICATION

☐ GOVERNMENT AGENCY ☐ COUNSEL ASSIGNED

☐ NO RECORD OF ATTORNEY READILY AVAILABLE. DEFENDANT STATES COUNSEL WAS ASSIGNED

SOURCE ☐ ACCUSATORY INSTRUMENT ☐ DOCKET BOOK/CRIMS ☐ CRC3030[CRS963]

I HEREBY CERTIFY THAT THIS IS A TRUE EXCERPT OF THE RECORD ON FILE IN  
THIS COURT.

FANELLI, R  
COURT OFFICIAL SIGNATURE AND SEAL

03/11/2016  
DATE

FEE: NONE

(CAUTION: THIS DOCUMENT IS NOT OFFICIAL UNLESS EMBOSSED WITH THE COURT  
SEAL OVER THE SIGNATURE OF THE COURT OFFICIAL.)

**SEALED**

pursuant to Section 160.50 of the CPL

# EXHIBIT

# E

☒ WARRANT OF ARREST  
☐ BENCH WARRANT  
☐ WARRANT

SUPREME COURT OF THE STATE OF NEW YORK

Part 32 County NEW YORK

01402-2013

Supreme Court Number/Year

In the Name of the People of the State of New York: To any Police Officer of the City of New York.

An Accusatory instrument having been filed with this Court

charging CARNONA PUELLO

the defendant in the criminal action herein,

with the commission of the Felony of BURGLARY IN THE SECOND DEGREE

• and

☒ the defendant not having been arraigned upon the accusatory instrument by which this criminal action against him was commenced and this Court requiring his appearance before it for the purpose of arraignment,

☐ the defendant having been arraigned upon the accusatory instrument by which this criminal action against him was commenced and this criminal action being pending in this Court and this Court requiring his appearance before it,

☐ the defendant having been convicted of

and having been sentenced to

and this Court requiring his appearance before it,

You are, therefore, commanded forthwith to arrest the defendant named above and bring him before this Court without unnecessary delay,

MARCH 25, 2013

Dated: City of New York

By Order of the Court

Justice of the Supreme Court  
Court ClerkFrancis K. Amabile  
Senior Court Clerk

NA

Bail Condition Violated

SC-CR-37-1/77

## PRINT or TYPE ALL INFORMATION CAPTIONS

ENTERED NCIC NO.		ENTERED DCJS NO.		ENTERED LOCAL NO.		CANCELLED DATE		MO		DAY		YR	
W		WPR NO.				<input type="checkbox"/> NCIC <input type="checkbox"/> DCJS <input type="checkbox"/> LOCAL							
1. DEFENDANT'S LAST NAME, FIRST, M.I.				2. SEX	3. RACE	4. DATE OF BIRTH	5. HGT.	6. WGT.	7. EYE COLOR	8. HAIR COLOR	9. SKIN TONE		
PUELLO, CARNONA				MALE	BLACK /HISP	MO 0 DAY 8 YR 13	5'11"	200 LB	BRWN	BLCK	MED		
10. MNU		NYSID NUMBER (NYIS)		11. SOCIAL SECURITY NO.		12. DRIVER'S LICENSE NUMBER		() OPER.		() CHAUF.			
OA-NY		0 8 3 0 5 5 6 9 P											
12A. STATE		12B. YEAR LIC. EXP.		13. OFFENSE		14. DATE OF WARRANT		15. NYCPD WARRANT DIVISION SERIAL NO.		16. COURT INDICTMENT NUMBER			
						MO DAY YR		OCA 578					
17. DEFENDANT'S VEH. REG. NO.		18. CRIM. COURT DOCKET NO.		YR.		19. MISC. INFORMATION VIOLATION OF PROBATION WARRANTS, INSERT NAME AND TELEPHONE NO.		OF PROBATION OFFICER (ALSO - MARKS, SCARS, B#, FPI#)					
20A. DEFENDANT'S RESIDENCE ADDRESS				20B. APT. NO.	21. BORO, TOWN, CITY, STATE		ZIP CODE	22. DEF. RES. PCT	23. DATE OF ARREST		24. PCT. OF ARR		
2301 MORRIS AVENUE				1A	BRONX, NY		10468		MO DAY YR				
25. ARREST NO.		26. CHARGE: PENAL LAW		27. CRIME CLASS		28. NAME & ADDRESS, NEXT OF KIN							
		PL 140.25(2)		<input checked="" type="checkbox"/> F <input type="checkbox"/> M <input type="checkbox"/> V									
29. ARRESTING OFFICER'S LAST NAME				FIRST		M		30. TAX. REG. NO.		31. SHIELD NO.		32. DEPT./AGENCY	
												33. COMD CODE	
34. DEFENDANT'S EMPLOYER'S NAME				ADDRESS				TEL NO.					

AKA'S

## FOR CENTRAL WARRANT UNIT USE ONLY

VERIFIED ACTIVE NAME				SHIELD NO.		WARRANT EXECUTED		DATE		MO		DAY		YR		ATTACH POLAROID PHOTO USE SCOTCH TAPE
A.O.W. NAME				COMD		TAX		DATE OF ARREST		MO		DAY		YR		
FWD DATE		PAGE NO		COMMANDS		CODE										
MO DAY YR																
LODGED DATE		LOCATION		ID NUMBER												NOTE: If the Polaroid Photo was not attached to this form, indicate by signing your name in the appropriate space.
MO DAY YR																
DC CHECK DATE		RESULT		INITIALS												
MO DAY YR																
F.P.F.I. DATE		FAX NO		ARR. OFF. COMD		A/O TAX REG. NO		DATE		MO		DAY		YR		signature
MO DAY YR																date

INSTRUCTIONS TO CLERKS: District Attorneys Office/Supreme Court /Probation Office

1. Attach the Criminal Court Warrant Form (PD374-152) located in the Supreme Court Case Jacket.

2. The Police Department will complete all information captions which are shaded.

WARRANT INVESTIGATION REPORT (PD 374-1591-REV. 1/77)

ORIGINAL/DUPLICATE TO WARRANT DIVISION - TRIPLICATE TO COURT

NYC000353

# EXHIBIT

# F

**DISTRICT ATTORNEY**  
**COUNTY OF NEW YORK**  
ONE HOGAN PLACE  
New York, N. Y. 10013  
(212) 335-9000



**CYRUS R. VANCE, JR.**  
DISTRICT ATTORNEY

April 25, 2017

Anthony Blue  
15-A-4723  
Clinton Correctional Facility  
P.O. Box 2002  
Dannemora, New York 12929

Re: F.O.I.L. Request  
Ind. No. 1402/2013

Dear Mr. Blue:

As you are aware, I am an Assistant District Attorney in New York County and have been assigned to be the Records Access Officer in connection with your request under the Freedom of Information Law (F.O.I.L.). The request was received by this office on or about April 3, 2017.

I have researched the case and am ready to rule on your request. According to our records, your conviction is still under appeal. As such, your case is still pending, and therefore your request is denied. Disclosure of documents during a pending proceeding would interfere with handling of the appeal as well as with any further investigation that might be necessary. Moreno v. New York County District Attorney's Office, 38 A.D.3d 358 (1st Dept. 2007). See Public Officers Law § 87(2)(e)(I); see also Matter of Legal Aid Socy. v New York City Police Dept., 274 A.D.2d 207 (1st Dept. 2000); Pittari v. Pirro, 258 A.D.2d 202 (2nd Dept. 1999); Matter of Sideri v Office of the Dist. Attorney of N.Y. County, 243 A.D.2d 423 (1st Dept. 1997).

The Freedom of Information Law Appeals Officer is Patricia J. Bailey,  
Bureau Chief, Special Litigation Bureau, New York County District Attorney's  
Office, 1 Hogan Place, New York, New York 10013.

Sincerely,

A handwritten signature in black ink, appearing to read "Anne-Marie Whelan". The signature is fluid and cursive, with a long horizontal stroke at the end.

Anne-Marie Whelan  
Assistant District Attorney  
Records Access Officer

# EXHIBIT

G

Supreme Court  
of the  
State of New York



100 CENTRE STREET  
NEW YORK, N.Y. 10013

April 25, 2017

Mr. Anthony Blue 14A4723  
Five Points Correctional Facility  
State Route 96 P.O. Box 119  
Romulus, NY 14541

Re: Documents  
Ind.# 01402-2013

Dear Mr. Blue:

In response to your request, it has been explained to you already that you can not obtain information on the Grand Jury. It is a secret procedure.

March 25, 2013 was the day the Grand Jury voted on an indictment against you in this case. Not a hearing date.

The indictment in your case is not a sealed document in the sense of not been available for public view. It is available for you to request.

Finally, the fees for documents have been explained to you many times in the past.

Respectfully yours,

Fernando Parra, SCC  
Court Action Processing Unit  
Supreme Court, Criminal Term

Encl.



Supreme Court  
of the  
State of New York



100 CENTRE STREET  
NEW YORK, N.Y. 10013

March 30, 2017

Mr. Anthony Blue 14A4723  
Five Points Correctional Facility  
State Route 96 P.O. Box 119  
Romulus, NY 14541

Re: Copies of Court Documents  
Ind.# 01402-2013

Dear Mr. Blue:

In response to your request, enclosed please find a copy of the relevant part of CPLR 8019(f) where it is stated that the maximum per record is now \$40. It refers to courts outside of New York City.

As Stated in previous letters, the fee as set by law for certified copies is \$8.00 for any document in your file from one up to 80 pages long. A disposition is \$10.00. Or you can have someone copy all the public documents in your file for \$0.25 cents per page using the copiers provided in the Clerk's Office at 100 Centre St. Room 1000 on the 10<sup>th</sup> Floor.

Be further advised that the Indictment is not filed by a Clerk but by the District Attorney's Office and that Grand Jury information is not public.

Respectfully yours,



Fernando Parra, SCC  
Court Action Processing Unit  
Supreme Court, Criminal Term

Encl.

# EXHIBIT

# H

PLACE OF  
LAST OFFICE ADDRESS

ANTHONY BLUE

INDICTMENT NUMBER 1402-2013

PLEA PART/DATE

JUSTICE

DEFENDANT PLEADS GUILTY:

BEFORE)

DURING TRIAL TO

☐ DEFT PLEADS NOT RESPONSIBLE BY REASON OF  
MENTAL DISEASE OR DEFECT

COUNSEL PRESENT

ADA PRESENT

COURT REPORTER

COURT INTERPRETER

COURT CLERK

PENDING SENTENCE ON

☐ REMANDED

☐ BAIL CONTINUED

☐ ROR

# TRIAL

COMMENCES OCTOBER 5 2015

JUSTICE ELLEN BIBEN

ADA PRESENT JAMES BERGAMO / TIMOTHY DUDA

COUNSEL PRESENT ANTHONY BLUE (PROSECUTOR) EUGENE NATHANSON (LEGAL ADVISOR)

COURT REPORTER(S) LORIA BURGESS

COURT CLERK PATRICIA SCHREIBER

INTERPRETER

CONCLUDED OCTOBER 15 2015

☒ DAILY COPY

TRIED AND FOUND GUILTY 1,2,4,5,6 NG - 3

COUNT 1 - GUILTY - BURGLARY 2 DEGREE PL 140.25 (2)

COUNT 2 - GUILTY - BURGLARY 2 DEGREE PL 140.25 (2)

COUNT 3 - AQUITTED

COUNT 4 - GUILTY - BURGLARY 2 DEGREE PL 140.25 (2)

COUNT 5 - GUILTY - BURGLARY 2 DEGREE PL 140.25 (2)

COUNT 6 - GUILTY - BURGLARY 2 DEGREE PL 140.25 (2)

PENDING SENTENCE ON 11-12-15

☒ REMANDED

☐ BAIL CONTINUED

☐ ROR

October 5, 2015 C/R Loria Burgess

Panel sworn - Judge's instructions -

Jury selection commences- Initial panel size 55. 26 excused, 16 seated in the box. Prospective juror #3 Vior, excused.

Adjourned to 10/06 @ 9:45

October 6, 2015 C/R Loria Burgess

Seated prospective juror #5 [REDACTED] called in sick. [REDACTED]

replaces Vior in seat #3. [REDACTED] replaces [REDACTED] in seat #5.

Seated prospective juror's 8, 10 and 16 excused for cause. [REDACTED]

replaces [REDACTED] in seat # 8, [REDACTED] replaces [REDACTED] in seat #10 and

[REDACTED] replaces [REDACTED] in seat # 16. The remaining 8 jurors

in the audience are now seated from 17 thru 24.

12 jurors selected, sworn and excused until 10/7 @ 9:45.

Upon request of defendant Mr. Blue his legal advisor will voir doir the next jury panel. Additional 30 jurors coming from 60 Centre str 30 Additional jurors - 19 - excused - 11 seated - 3 alternates selected completing the jury. Adjourned to 10/07/15 for trial @ 10:00am.

October 7, 2015 C/R L. Burgess / K. Martini

Judge instructs jury.

People's opening statement by T. Duda @ 10:35am.

Defense opening by Anthony Blue, Pro Se.

People's case:

Witness #1 [REDACTED] sworn - examined - excused

People's exhibit 1-6 admitting into evidence thru this witness.

PW # 2 [REDACTED] sworn - examined - excused (E#7)

PW# 3 PO Michelle Keane shield # 25058 CSU - sworn, examined, and excused (E # 8,9 and 10)

PW# 4 [REDACTED] - NY county - sworn - examined - excused (E#11)

PW# 5 [REDACTED] - sworn - examined - excused (E# 12)

PW# 6 PO Emmanuel Valerio shield # 20335 - 33rd Precinct sworn - examined- excused

PW# 7 PO Johnny Mitchell shield # 31023 - 33rd Pct.

sworn - examined - excused

Jury admonished - excused until 10-08-15 @ 11:00am.

October 8, 2015 C/R L. Burgess/K. Martini

PW # 8 [REDACTED] - NY County -

sworn - examined - excused

PW# 9 PO Genner Gomez shield # 27651 - 33rd Pct.

sworn - examined - excused

PW# 10 [REDACTED] - NY County - sworn - examined - excused (E# 13)

PW #11 DET. Denny Acosta shield # 7391 - 33rd Pct. Det Unit sworn - examined - excused (E# 14, 15 & 16)

PW #12 SGT. John Valentino shield# 2615 - 109th Pct.

sworn - examined - excused (E# 17 & 18)

Jury admonished - excused until 10-09-15 @ 10:15am.

October 9, 2015 C/R L. Burgess / K. Martini

PW# 13 [REDACTED] San Francisco - sworn - examined -

excused (E#10)

NYC000271

# EXHIBIT

# I